



04772 U.S. PTO

CUSTOMER NO. 21395
Atty Docket: 0102/0237

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

BOX APPLICATIONS

Re: New U.S. Patent Application

For: RECORDING MEDIUM AND SIGNAL
PROCESSING APPARATUS

Inventor(s): Yoshiaki TANAKA
Shoji UENO
Norihiko FUCHIGAMI

Attorney Docket: 0102/0237

Sir:

Attached hereto is the application identified above, including 169 pages of textual specification including 39 claims, and 84 sheets of drawings.

- [XX] This application is a divisional of U.S. Patent Application No. 09/195,100, filed November 18, 1998.
- [XX] Submitted herewith is a Preliminary Amendment. The filing fee and claims calculated below are based on the Preliminary Amendment.

The Government filing fee is calculated as follows:

(Col 1)	(Col 2)	(Col 3)	SMALL ENTITY	NON-SMALL ENTITY
NO. FILED		NO. EXTRA	RATE	RATE
TOTAL	4	minus	20	x9=
INDEP	4	minus	3	0
<u>First Presentation, Multiple Dependent Claims</u>			x43=	\$86
Base Filing Fee			+145=	\$770
TOTAL FILING FEE (accounting for possible small entity status)			\$	\$856
			OR	OR TOTAL

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- [] Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

[] is filed herewith;
[] will be filed at a later date;
[] was filed in the parent application.

- [XX] Foreign priority is claimed under 35 U.S.C. § 119 from the following:

Japanese Patent Application No. 9-337700 filed November 21, 1997
Japanese Patent Application No. 9-343916 filed November 28, 1997
Japanese Patent Application No. 10-191040 filed June 22, 1998 (not claimed)

[Certified copies of the priority applications were submitted in parent application No. 09/195,100 on December 4, 1998]

- [XX] A copy of the Declaration/Power of Attorney submitted in the parent '100 application is submitted herewith.

- [] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).

- [XX] Formal drawing(s) is/are attached.

- [] Formal drawing(s) will be submitted at a later date.

- [XX] An Information Disclosure Statement, PTO-1449 citing the references are submitted.

- [XX] The Assignment document of the '100 parent application was recorded on November 18, 1999 on Reel 09628, Frame 0751.

- [XX] The Commissioner is hereby authorized to charge the amount of \$ 856.00 for the filing of this application to Deposit Account No. 50-0501. Also, the Commissioner is authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached

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- [] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.
- [XX] Address all future communications (may only be completed by applicant, or attorney of record) to Louis Woo at **Customer No. 021395** whose address is:

Law Office of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
(703) 299-4090

Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
717 North Fayette Street
Alexandria, Virginia 22314
Phone: (703) 299-4090

Date: Jan 30 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki TANAKA et al.

Serial No.

Art Unit:

Filed:

Examiner:

Divisional of 09/195,100
filed November 18, 1998

Atty Docket: 0102/0237

For: RECORDING MEDIUM AND
SIGNAL PROCESSING
APPARATUS

CHANGE OF ATTORNEY'S ADDRESS IN APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please send all correspondence for this application to the following address:

Law Offices of Louis Woo
717 North Fayette Street
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Customer No. 021395

Please direct telephone calls to:

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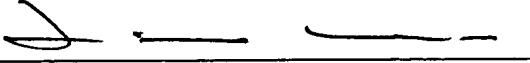
SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are eighty-four (84) sheets of formal drawings containing
Figs. 1-111 relating to the above-identified application.

Respectfully submitted,


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Date: Jan 30 2004